

## FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
208/007SERIAL NO.  
08/368,776APPLICANT:  
Thomas Ciossek et al.FILING DATE:  
January 3, 1995GROUP:  
1806

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB CLASS	FLINC DATE
See	AA	4	3	3	0	4	4	0		05/18/82	Ayers et al.			
	AB	4	3	7	6	1	1	0		03/08/83	David et al.			
	AC	4	1	9	5	1	2	8		03/25/80	Hildebrand et al.			
	AD	4	2	4	7	6	4	2		01/27/81	Hirohara et al.			
	AE	4	2	2	9	5	3	7		10/21/80	Hodgins et al.			
	AF	3	9	6	9	2	8	7		07/13/76	Jaworek et al.			
	AG	4	9	4	6	7	7	8		08/07/90	Ladner et al.			
	AH	3	6	9	1	0	1	6		09/12/72	Patel et al.			
	AI	4	9	4	5	0	5	0		07/31/90	Sanford et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
See	AI	9 3 2 3 5 6 9	25.11.93	WO/PCT (Draper et al.)				
↓	AK	9 3 2 3 4 2 9	25.11.93	WO/PCT (Stacker et al.)				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

See	AL	Aaronson, "Growth Factors and Cancer," <u>Science</u> 254:1146-1153 (1991)
	AM	Abe et al., "Molecular Characterization of a Novel Metabotropic Glutamate Receptor mGluR5 Coupled to Inositol Phosphate/Ca <sup>2+</sup> Signal Transduction," <u>I. Biol. Chem.</u> 267:13361-13368 (1992)
	AN	Adelman et al., "In Vitro Deletional Mutagenesis for Bacterial Production of the 20,000-Dalton Form of Human Pituitary Growth Hormone," <u>DNA</u> 2(3):183-193 (1983)
	AO	Basler and Hafen, "Ubiquitous Expression of <i>sevenless</i> : Position-Dependent Specification of Cell Fate," <u>Science</u> 243:931-934 (1989)
	AP	Benoist and Chambon, "In vivo sequence requirements of the SV40 early promoter region," <u>Nature</u> 290:304-310 (1981)
	AQ	Bird et al., "Single-Chain Antigen-Binding Proteins," <u>Science</u> 242:423-426 (1988)
	AR	Bitter et al., "Expression and Secretion Vectors for Yeast," <u>Methods in Enzym.</u> 153:516-544 (1987)
	AS	Böhme et al., "PCR mediated detection of a new human receptor-tyrosine-kinase, HEK 2," <u>Oncogene</u> 8:2857-2862 (1993)
	AT	Brinster et al., "Factors Affecting the Efficiency of Introducing Foreign DNA into Mice by Microinjecting Eggs," <u>Proc. Natl. Acad. Sci. USA</u> 82:4438-4442 (1985)
	AU	Capecci, "Altering the Genome by Homologous Recombination," <u>Science</u> 244:1288-1292 (1989)
	AV	Chabot et al., "The proto-oncogene <i>c-kit</i> encoding a transmembrane tyrosine kinase receptor maps to the mouse W location," <u>Nature</u> 335:88-89 (1988)

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<i>Sue</i>	AW	Chan and Watt, "eek and erk, new members of the eph subclass of receptor protein-tyrosine kinases," <u>Oncogene</u> 6:1057-1061 (1991)
	'AX	Chen and Okayama, "High-Efficiency Transformation of Mammalian Cells by Plasmid DNA," <u>Mol. and Cell. Biol.</u> 7(8):2745-2752 (1987)
	AY	Chomczynski and Sacchi, "Single-Step Method of RNA Isolation by Acid Guanidinium Thiocyanate-Phenol-Chloroform Extraction," <u>Analytical Biochemistry</u> 162:156-159 (1987)
	AZ	Chowdhury et al., "Long-term Improvement of Hypercholesterolemia After Ex Vivo Gene Therapy in LDLR-Deficient Rabbits," <u>Science</u> 254:1802-1805 (1991)
	BA	Ciossek et al., "Identification of alternatively spliced mRNAs encoding variants of MDK1, a novel receptor tyrosine kinase expressed in the murine nervous system," <u>Oncogene</u> 10(1):97-108 (1995)
	BB	Colbère-Garapin et al., "A New Dominant Hybrid Selective Marker for Higher Eukaryotic Cells," <u>J. Mol. Biol.</u> 150:1-14 (1981)
	BC	Cole et al., "The EBV-Hybridoma Technique and its Application to Human Lung Cancer," pp. 77-96 in <u>Monoclonal Antibodies and Cancer Therapy</u> eds. Reisfeld and Sell, Alan R. Liss, Inc., New York (1985)
	BD	Creighton, <u>Proteins: Structures and Molecular Principles</u> pp. 79-86, W.H. Freeman and Co., New York, (1983)
	BE	Cristiano et al., "Hepatic Gene Therapy: Adenovirus Enhancement of Receptor-Mediated Gene Delivery and Expression in Primary Hepatocytes," <u>Proc. Natl. Acad. Sci. USA</u> 90:2122-2126 (1993)
	BF	Curiel et al., "Adenovirus Enhancement of Transferrin-polylysine-mediated Gene Delivery," <u>Proc. Natl. Acad. Sci. USA</u> 88:8850-8854 (1991)
	BG	Curiel et al., "Gene Transfer to Respiratory Epithelial Cells via the Receptor-mediated Endocytosis Pathway," <u>Am. J. Respir. Cell. Mol. Biol.</u> 6:247-252 (1992)
	BH	Domchek et al., "Inhibition of SH2 Domain/Phosphoprotein Association by a Nonhydrolyzable Phosphonopeptide," <u>Biochemistry</u> 31:9865-9870 (1992)
	BI	Ellis et al., "Embryo Brain Kinase: a novel gene of the eph/elk receptor XP002002321 tyrosine kinase family," <u>EMBL Database entry MMBEK</u> , Accession number X81466, September 16, 1994)
	BJ	Fanti et al., "Distinct Phosphotyrosines on a Growth Factor Receptor Bind to Specific Molecules That Mediate Different Signaling Pathways," <u>Cell</u> 69:413-423 (1992)
	BK	Feinberg and Vogelstein, "A Technique for Radiolabeling DNA Restriction Endonuclease Fragments to High Specific Activity," <u>Analytical Biochemistry</u> 132:6-13 (1983)
	BL	Felder et al., "SH2 Domains Exhibit High-Affinity Binding to Tyrosine-Phosphorylated Peptides Yet Also Exhibit Rapid Dissociation and Exchange," <u>Mol. and Cell. Biol.</u> 13(3):1449-1455 (1993)
	BM	Felgner and Ringold, "Cationic liposome-mediated transfection," <u>Nature</u> 337:387-388 (1989)
	BN	Felgner et al., "Lipofection: A Highly Efficient, Lipid-mediated DNA-transfection Procedure," <u>Proc. Natl. Acad. Sci. USA</u> 84:7413-7417 (1987)
	BO	Fendly et al., "Characterization of Murine Monoclonal Antibodies Reactive to Either the Human or Epidermal Growth Factor Receptor or HER2/neu Gene Product" <u>Cancer Research</u> 50:1550-1558 (1990)
	BP	Fingl and Woodbury, Chapter 1, pp.1-46 in <u>The Pharmacological Basis of Therapeutics</u> (5th edition), eds. Goodman et al., MacMillan Publishing Co., Inc., New York (1975)
<i>↓</i>	BQ	Fry et al., "New insights into protein-tyrosine kinase receptor signaling complexes," <u>Protein Science</u> 2:1785-1797 (1993)

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*S. Uyan*

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<i>gr</i>	BR	Geissler et al., "The Dominant-White Spotting (W) Locus of the Mouse Encodes the c-kit Proto-Oncogene," <i>Cell</i> 55:185-192 (1988)
	BS	Gilardi-Hebenstreit et al., "An Eph-related receptor protein tyrosine kinase gene segmentally expressed in the developing mouse hindbrain," <i>Oncogene</i> 7:2499-2506 (1992)
	BT	Hamer and Walling, "Regulation <i>In Vivo</i> of a Cloned Mammalian Gene: Cadmium Induces the Transcription of a Mouse Metallothionein Gene in SV40 Vectors," <i>J. of Molecular and Applied Genetics</i> 1:273-288 (1982)
	BU	Hammer et al., "Spontaneous Inflammatory Disease in Transgenic Rats Expressing HLA-B27 and Human $\beta_2m$ : An Animal Model of HLA-B27-Associated Human Disorders," <i>Cell</i> 63:1099-1112 (1990)
	BV	Hanks et al., "The Protein Kinase Family: Conserved Features and Deduced Phylogeny of the Catalytic Domains," <i>Science</i> 241:42-52 (July 1988)
	BW	Hardie, "Roles of Protein Kinases and Phosphatases in Signal Transduction," <i>Symp. Soc. Exp. Bio.</i> 44:241-255 (1990)
	BX	Hirai et al., "A Novel Putative Tyrosine Kinase Receptor Encoded by the <i>eph</i> Gene," <i>Science</i> 238:1717-1720 (1987)
	BY	Houdebine and Chourrout, "Transgenesis in Fish," <i>Experientia</i> 47:891-897 (1991)
	BZ	Huston et al., "Protein engineering of antibody binding sites: Recovery of specific activity in an anti-digoxin single-chain Fv analogue produced in <i>Escherichia coli</i> ," <i>Proc. Natl. Acad. Sci. USA</i> 85:5879-5883 (1988)
	CA	Inouye and Inouye, "Up-promotor mutations in the <i>lpp</i> gene of <i>Escherichia coli</i> ," <i>Nucleic Acids Research</i> 13(9):3100-3111 (1985)
	CB	Jansen et al., "Immunotoxins: Hybrid Molecules Combining High Specificity and Potent Cytotoxicity," <i>Immunological Rev.</i> 62:185-216 (1982)
	CC	Johnston and Hopper, "Isolation of the yeast regulatory gene <i>GAL4</i> and analysis of its dosage effects on the galactose/melibiose regulon," <i>Proc. Natl. Acad. Sci. USA</i> 79:6971-6975 (1982)
	CD	Joyner et al., "Production of a mutation in mouse <i>En-2</i> gene by homologous recombination in embryonic stem cells," <i>Nature</i> 338:153-156 (1989)
	CE	Kaneda et al., "The Improved Efficient Method for Introducing Macromolecules into Cells Using HVJ (Sendai Virus) Liposomes with Gangliosides," <i>Experimental Cell Research</i> 173:56-69 (1987)
	CF	Kaneda et al., "Increased Expression of DNA Cointroduced with Nuclear Protein in Adult Rat Liver," <i>Science</i> 243:375-378 (1989)
	CG	Killen and Lindstrom, "Specific Killing of Lymphocytes that Cause Experimental Autoimmune Myasthenia Gravis by Ricin Toxin-Acetylcholine Receptor Conjugates," <i>J. of Immunology</i> 133:2549-2553 (1984)
	CH	Köhler and Milstein, "Continuous cultures of fused cells secreting antibody of predefined specificity," <i>Nature</i> 256:495-496 (1975)
	CI	Kozak, "Compilation and analysis of sequences upstream from the translational start site in eukaryotic mRNAs," <i>Nucleic Acids Research</i> 12:857-873 (1984)
	CJ	Kozbor and Roder, "The production of monoclonal antibodies from human lymphocytes," <i>Immun. Today</i> 4(3):72-79 (1983)
	CK	Lam et al., "A new type of synthetic peptide library for identifying ligand-binding activity," <i>Nature</i> 354:82-84 (1991)
	CL	Lammers, "Differential Activities of Proteins Tyrosine Phosphatases in Intact Cells," <i>J. Biol. Chem.</i> 268:22456-22462 (1993)

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<i>gr</i>	CM	Letwin et al., "Novel protein-tyrosine kinase cDNAs related to <i>fes/fes</i> and <i>eph</i> cloned using anti-phosphotyrosine antibody," <u>Oncogene</u> 3:621-627 (1988)
	CN	Lindberg and Hunter, "cDNA Cloning and Characterization of <i>eck</i> , an Epithelial Cell Receptor Protein-Tyrosine Kinase in the <i>eph/elk</i> Family of Protein Kinases," <u>Mol. and Cell. Biol.</u> 10:6316-6324 (1990)
	CO	Logan and Shenk, "Adenovirus tripartite leader sequence enhances translation of mRNAs late after infection," <u>Proc. Natl. Acad. Sci. USA</u> 81:3655-3659 (1984)
	CP	Lowy et al., "Isolation of Transforming DNA: Cloning the Hamster aprt Gene," <u>Cell</u> 22:817-823 (1980)
	CQ	Maher, "Tissue-dependent Regulation of Protein Tyrosine Kinase Activity during Embryonic Development," <u>J. Cell. Biol.</u> 112:955-963 (1991)
	CR	Maisonpierre et al., "Ehk-1 and Ehk-2: two novel members of the Eph receptor-like tyrosine kinase family with distinctive structures and neuronal expression," <u>Oncogene</u> 8:3277-3288 (1993)
	CS	Marasco et al., "Design, intracellular expression, and activity of a human anti-human immunodeficiency virus type 1 gp120 single-chain antibody," <u>Proc. Natl. Acad. Sci. USA</u> 90:7889-7893 (1993)
	CT	McKnight, "Functional Relationships between Transcriptional Control Signals of the Thymidine Kinase Gene of Herpes Simplex Virus," <u>Cell</u> 31:355-365 (1982)
	CU	Millauer, "High Affinity VEGF Binding and Developmental Expression Suggest Flk-1 as a Major Regulator of Vasculogenesis and Angiogenesis," <u>Cell</u> 72:835-846 (1993)
	CV	Morrison et al., "Chimeric human antibodymolecules: Mouse antigen-binding domains with human constant region domains," <u>Proc. Natl. Acad. Sci. USA</u> 81:6851-6855 (1984)
	CW	Mulligan and Berg, "Selection for animal cells that express the <i>Escherichia coli</i> gene coding for xanthine-guanine phosphoribosyltransferase," <u>Proc. Natl. Acad. Sci. USA</u> 78(4):2072-2076 (1981)
	CX	Mulligan, "The Basic Science of Gene Therapy," <u>Science</u> 260:926-932 (1993)
	CY	Nelson et al., "Detection of Acridinium Esters by Chemiluminescence," <u>Nonisotopic DNA Probe Techniques</u> ed. L.J. Kricka (San Diego:Academic Press, Inc. pp. 275-310 (1992)
	CZ	Neuberger et al., "Recombinant antibodies possessing novel effector functions," <u>Nature</u> 312:604-608 (1984)
	DA	Nieto et al., "A receptor protein tyrosine kinase implicated in the segmental patterning of the hindbrain and mesoderm," <u>Development</u> 116:1137-1150 (1992)
	DB	Nocka et al., "Expression of <i>c-kit</i> gene products in known cellular targets of <i>W</i> mutations in normal and <i>W</i> mutant mice - evidence for an impaired <i>c-kit</i> kinase in mutant mice," <u>Genes Dev.</u> 3:816-826 (1989)
	DC	O'Hare et al., "Transformation of mouse fibroblasts to methotrexate resistance by a recombinant plasmid expressing a prokaryotic dihydrololate reductase," <u>Proc. Natl. Acad. Sci. USA</u> 78(3):1527-1531 (1981)
	DD	Pasquale, "Identification of chicken embryo kinase 5, a developmentally regulated receptor-type tyrosine kinase of the Eph family," <u>Cell Regulation</u> 2:523-534 (1991)
	DE	Pasquale et al., "Cek5, a Membrane Receptor-Type Tyrosine Kinase, Is in Neurons of the Embryonic and Postnatal Avian Brain," <u>J. Neuroscience</u> 12:3956-3967 (1992)
	DF	Pasquale and Singer, "Identification of a developmentally regulated protein-tyrosine kinase by using anti-phosphotyrosine antibodies to screen a cDNA expression library," <u>Proc. Natl. Acad. Sci. USA</u> 88:5449-5453 (1989)

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Su	DG	Posada and Cooper, "Molecular Signal Integration. Interplay Between Serine, threonine and Tyrosine Phosphorylation," <u>Mol. Biol. of the Cell</u> 3:583-592 (1992)
	DH	Pursel et al., "Genetic Engineering of Livestock," <u>Science</u> 244:1281-1288 (1989)
	DI	Raffioni et al., "The Receptors for Nerve Growth Factor and Other Neurotrophins," <u>Annu. Rev. Biochem.</u> 62:823-850 (1993)
	DJ	Redemann et al., "Anti-Oncogenic Activity of Signalling-Defective Epidermal Growth Factor Receptor Mutants," <u>Mol. and Cell. Biol.</u> 12(2):491-498 (1992)
	DK	Rotin et al., "SH2 domains prevent tyrosin dephosphorylation of the EGF receptor: identification of Tyr992 as the high-affinity binding site for SH2 domains of phospholipase Cγ," <u>The EMBO J.</u> 11(2):559-567 (1992)
	DL	Rüther and Müller-Hill, "Easy identification of cDNA clones," <u>EMBO J.</u> 2(10):1791-1794 (1983)
	DM	Sajjadi et al., "Identification of a New eph-Related Receptor Tyrosine Kinase Gene From Mouse and Chicken That is Developmentally Regulated and Encodes at Least Two Forms of the Receptor," <u>The New Biologist</u> 3:769-778 (1991)
	DN	Sajjadi and Pasquale, "Five novel avian Eph-related tyrosine kinases are differentially expressed," <u>Oncogene</u> 8:1807-1813 (1993)
	DO	Sanger et al., "DNA sequencing with chain-terminating inhibitors," <u>Proc. Natl. Acad. Sci. USA</u> 74:5463-5467 (1977)
	DP	Santerre et al., "Expression of prokaryotic genes for hygromycin B and G418 resistance as dominant-selection markers in mouse L cells," <u>Gene</u> 30:147-156 (1984)
	DQ	Schlessinger, "Signal transduction by allosteric receptor oligomerization," <u>Trends Biochem. Sci.</u> 13:443-447 (1988)
	DR	Silver et al., "Amino terminus of the yeast GAL4 gene product is sufficient for nuclear localization," <u>Proc. Natl. Acad. Sci. USA</u> 81:5951-5955 (1984)
	DS	Skolnik et al., "Cloning of PI3 Kinase-Associated p85 Utilizing a Novel Method for Expression/Cloning of Target Proteins for Receptor Tyrosin Kinases," <u>Cell</u> 65:83-90 (1991)
	DT	Songyang et al., "SH2 Domains Recognize Specific Phosphopeptide Sequences," <u>Cell</u> 72:767-778 (1993)
	DU	Sprenger et al., "The <i>Drosophila</i> gene <i>torso</i> encodes a putative receptor tyrosine kinase," <u>Nature</u> 338:478-483 (1989)
	DV	Stephenson et al., "Platelet-derived growth factor receptor α-subunit gene ( <i>Pdgfra</i> ) is deleted in the mouse patch ( <i>Ph</i> ) mutation," <u>Proc. Natl. Acad. Sci. USA</u> 88:6-10 (1991)
	DW	Stryer, Lubert, <u>Biochemistry</u> (Third Edition) W.H. Freeman & Company, New York, pp. 7-8 (1988)
	DX	Szybalska and Szybalski, "Genetics of Human Cell Lines, IV. DNA-Mediated Heritable Transformation of a Biochemical Trait," <u>Proc. Natl. Acad. Sci. USA</u> 48:2026-2034 (1962)
	DY	Takeda et al., "Construction of chimaeric processed immunoglobulin genes containing mouse variable and human constant region sequences," <u>Nature</u> 314:452-454 (1985)
	DZ	Ullrich and Schlessinger, "Signal Transduction by Receptors with Tyrosine Kinase Activity," <u>Cell</u> 61:203-212 (1990)
	EA	Van Heeke and Schuster, "Expression of Human Asparagine Synthetase in <i>Escherichia coli</i> ," <u>J. Biol. Chem.</u> 264(10):5503-5509 (1989)
↓	EB	Ward et al., "Binding activities of a repertoire of single immunoglobulin variable domains secreted from <i>Escherichia coli</i> ," <u>Nature</u> 341:544-546 (1989)

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<i>Su</i>	EC	Wicks et al., "Molecular cloning of HEK, the gene encoding a receptor tyrosine kinase expressed by human lymphoid tumor cell lines," <u>Proc. Natl. Acad. Sci. USA</u> 89:1611-1615 (1992)
	ED	Wigler et al., "Transformation of mammalian cells with an amplifiable dominant-acting gene," <u>Proc. Natl. Acad. Sci. USA</u> 77(6):3567-3570 (1980)
	EE	Wigler et al., "Transfer of Purified Herpes Virus Thymidine Kinase Gene to Cultured Mouse Cells," <u>Cell</u> 11:223-232 (1977)
	EF	Wilson et al., "Clinical Protocol: Ex Vivo Gene Therapy of Familial Hypercholesterolemia," <u>Human Gene Therapy</u> 3:179-222 (1991)
	EG	Wolff et al., "Direct Gene Transfer into Mouse Muscle <i>In Vivo</i> ," <u>Science</u> 247:1465-1468 (1990)
	EH	Wu and Wu, "Receptor-mediated <i>In Vitro</i> Gene Transformation by a Soluble DNA Carrier System," <u>J. Biol. Chem.</u> 262:4429-4432 (1987)
	EI	Wu et al., "Characterization and Molecular Cloning of a Putative Binding Protein for Heparin-binding Growth Factors," <u>J. Biol. Chem.</u> 266:16778-16785 (1991)
	EJ	Yang et al., "In Vivo and In Vitro Gene Transfer to Mammalian Somatic Cells by Particle Bombardment," <u>Proc. Natl. Acad. Sci. USA</u> 87:9568-9572 (1990)
<i>↓</i>	EK	Zhu et al., "Systemic Gene Expression After Intravenous DNA Delivery into Adult Mice," <u>Science</u> 261:209-211 (1993)

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